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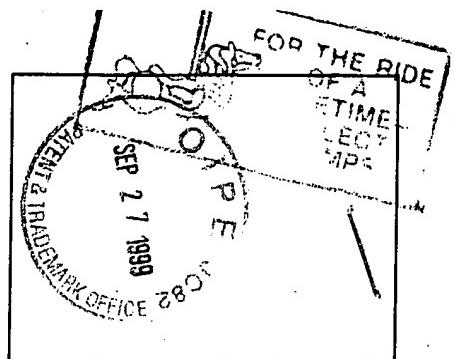
TECH CENTER 1600/2900

Please date stamp and return to addressee on reverse side

Applicant(s): Stanton / Gersoff

Serial No.: 09/321,655 Filed: 5/28/99

Title (or mark):  
Hematopoietic Progenitor Cell Gene  
Transduction



**DOCUMENTS ENCLOSED**

Application sheets: Descr.: \_\_\_\_\_

Claims \_\_\_\_\_ Abstract \_\_\_\_\_

Drawing sheets: \_\_\_\_\_

Formal

Informal

Declaration (executed 9-21-99)

Assignment to Case Western University  
executed 9-21-99

Verified Statement Claiming Small Entity Status

Type of OA: \_\_\_\_\_

Response to OA dated 9-21-99

Inform. Discl. Statement

One (2) Mo. Ext. of Time

C: of Notice to File Missing Parts  
of Application

Other \_\_\_\_\_

Check Amount \$ 280.00 No. 1091

Formal Drawing \_\_\_\_\_ sheets

Express Mail Label No.: \_\_\_\_\_

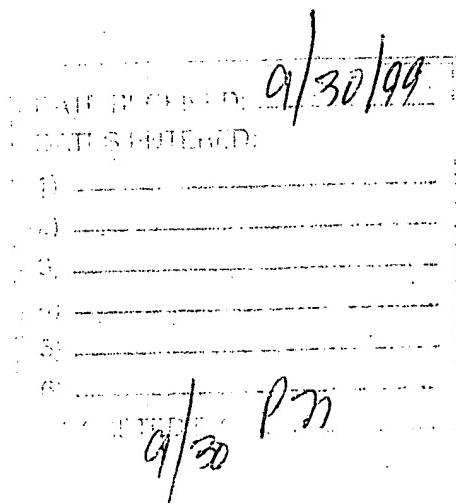
First class mail

Deposited on:

Certificate Dated:

Atty.: R. Little

Docket No.: 640100-304

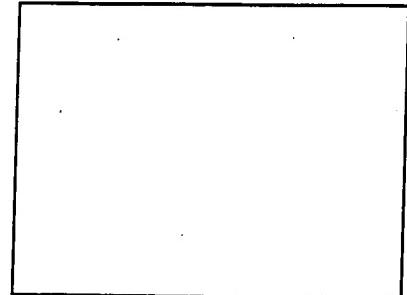


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Applicant(s): Stanton L Gerson

Serial No.: 09/321,655 Filed: 5/28/99

Title (or mark): Hematopoietic Progenitor Cell Gene  
transduction



**DOCUMENTS ENCLOSED**

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Certificate Dated: \_\_\_\_\_

Atty.: R. Little

Docket No.: 640100-304

RE: **Carella, Byrne, Bain, Gilfillan, Cecchi, Stewart & Olstein P.A.**

ATTORNEY BUSINESS ACCOUNT REIMBURSEMENT ACCOUNT  
6 BECKER FARM ROAD, ROSELAND, NEW JERSEY 07068

021973

LINDA

640100-304

HON COMM OF PATENTS

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2 REIMBURSEMENT ADVANCED

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**Patent Examining Operations**

Applicant(s): Stanton L. Gerson  
Serial No: 09/321,655 Art Unit: 3738  
Filed: May 28, 1999 Examiner: Unassigned  
Title: Hematopoietic Progenitor Cell Gene Transduction  
AttorneyDocket No.: 640100-304

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed please find the following:

1. Executed Declaration and Power of Attorney;
2. Executed Assignment;
3. Assignment cover form
4. Copy of Notice to File Missing Parts;
5. Petition for Extension of Time (1 month);
6. Check No. 1097 in the amount of \$ 280.00; and
7. A self-addressed, postage paid, return receipt postcard, date stamp and return of which is respectfully requested.

The Commissioner is authorized to charge payment of any additional filing fees required under 37 C.F.R. 1.16 associated with this communication or credit any overpayment to Deposit Account No. **03-0678**.

**FIRST CLASS CERTIFICATE**

I hereby certify that this correspondence is being deposited today with the U.S. Postal Service as First Class Mail in an envelope addressed to:  
Assistant Comm. for Patents  
Washington, D.C. 20231

*Raymond J. Lillie*  
Raymond J. Lillie, Esq.  
Date: 9/23/99

Respectfully submitted,

*Raymond J. Lillie*

Raymond J. Lillie, Esq.  
Reg. No. 31,778  
CARELLA, BYRNE, BAIN,  
GILFILLAN, CECCHI, STEWART & OLSTEIN  
6 Becker Farm Road  
Roseland, NJ 07068  
Tel. No.: (201) 994-1700  
Fax No. : (201) 994-1744  
Attorney for Applicant(s)



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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499/321,655 05/28/99 GERSON 8 640100-304

0292/0623

ELLIOT M VOLSTEIN ESQ  
C O CARELLA BYRNE BAIN GILFILLAN  
COCCHEI STEWART & VOLSTEIN  
6 BECKER ROAD  
ROSELAND NJ 07068

NOT ASSIGNED

3738

DATE MAILED:

06/23/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
***Filing Date Granted***

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of  \$65.00 for a small entity in compliance with 37 CFR 1.27, or  \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
 small entity (statement filed)  non-small entity is \$ 130.

1. The statutory basic filing fee is:

- missing.  
 insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- is missing or unsigned.  
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

***A copy of this notice MUST be returned with the reply.***

*J T-6*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202